## **Standard Operating Procedure**

for

Registration of Recycler through the Online EPR Portal under Battery Waste Management Rules, 2022



Central Pollution Control Board, Delhi August, 2022

## **Table of Contents**

1.0 Background	. 3
2.0 Guidance for filing of Application by Recycler	. 3
2.1 Applicability of SOP	. 3
2.2 Procedure for Signing Up	. 3
2.3 Submission of Application	. 4
2.4 Filing section-wise information	. 4
3.0 Application & Annual processing fees	. 9
4.0 Processing of Application	. 9
5.0 Renewal of Registration	10
6.0 Specific Conditions of Registration	10
7.0 Cancellation of Registration	.10

#### 1.0 Background

Ministry of Environment Forest and Climate Change notified Battery Waste Management (BWM) Rules on 22<sup>nd</sup> August, 2022 as per which all recyclers shall register with the State Pollution Control Board through the online portal.

As per Rules, Recycling of Waste Battery means recycling of Battery materials such as lead, nickel, lithium, nickel, cobalt, plastics, rubber, glass, etc. Waste Battery Recyclers shall have to register with concerned SPCBs/PCCs in accordance with provision 9 (1) of BWM Rules, 2022 on the EPR portal for Battery Waste Management developed by CPCB.

This document outlines the Standard Operating Procedure for the Registration of Recyclers with SPCBs/PCCs in line with BWM Rules, 2022. This SOP provides guidance on the filing of applications by the Recyclers.

#### 2.0 Guidance for filing of Application by Recyclers

The process of filing the application starts with signing up by Recycler on the online portal **www.eprbatterycpcb.in** followed by filling of the application in 6 parts namely (A) General Details (B) Information on Battery (C) Documents (D) Geo Images (E) Recycling Capacity and (F) Payment of Fees

#### 2.1 Applicability of SOP

This SOP shall be applicable to all recyclers who are required to obtain registration from concerned SPCB/PCC and under Battery Waste Management Rules, 2022. Recyclers shall submit online application along with relevant information, documents & Application fees for registration as per the details given in subsequent sections of this document.

Applications under process for grant of Registration shall henceforth be processed at **www.eprbatterycpcb.in** by SPCB/PCC as per provisions of this SOP.

#### 2.2 Procedure for Signing Up

To submit the application for registration under Battery Waste Management Rules, 2022, the recycler shall create login credentials by providing details as mentioned in the table below:

S. No.	Section	Information required	Guidance
1.	Applicant Type	Category of applicant	Select the option under which registration is to be granted
2.	Company Details	Name of Company	Please enter the name of the entity without Pre-fixing 'M/s'. Certificate will be issued on the name of company provided by the Recycler
		Trade Name	Should be the same as provided in GST. If Trade name is not mentioned, name of the company is to be entered.
		Type of Business	Select the type of business from the drop - down menu
		State/UT	-
		Registered Address	Should be the same as provided in GST. Registration certificate will be issued having the registered address of the Recycler.
		District	
		Pin code	Pin code of the registered address

		PAN	Permanent Account Number of the company in 'AAAAA9999A' format. In the case of		
			Proprietor-ship, the PAN number of Authorized person is to be provided.		
		CIN	Corporate Identification Number in is to be provided if the business is registered with Ministry of Corporate Affairs.		
3.	3. Authorized Person Name		Name of authorized company official. Name of any consultant or agent or any other agencies working on behalf of Recycler shall not be provided.		
		Designation	Position in company		
		Mobile	10 digit mobile number should be in use		
		PAN	Permanent Account Number of authorized Person in 'AAAAA9999A' format.		
		Aadhar No.	Aadhar number of the authorized person		
4.	Login Details	Authorized Email ID	Authorized Email ID of the Recycler will be used as the User ID for login.		
		Password	Use of strong password is recommended. Password should be minimum 8 digits in length. It must contain at least one capital letter, one small letter, one number and One special character.		
		Confirm Password	Same password to be entered.		
Afte	After clicking on <b>Sign Up</b> , OTP will be sent to the registered mobile number. The applicant shall				

enter the OTP to proceed with filling up of application

#### 2.3 Submission of Application

After the creation of account, the applicant will log in to initiate the registration process. However, prior to filling application form, it shall be ensured that copy of the following mandatory documents in only pdf format is readily available with the Applicant:

- 1. Company GST Certificate
- 2. PAN Card of the company
- 3. Process Flow Diagram of the recycling process
- 4. Consent Issued by SPCBs/PCCs under Air/Water Act and authorization under Hazardous & Other Waste (Management & Transboundary Movement) Rules, 2016
- 5. District Industries Centre (DIC) Certificate

#### 2.4 Filing section-wise information

Recyclers shall follow section-wise instructions as given in the Table below for filing Application for Registration.

S. No.	Section	Information required	Guidance
	(1) Domising	Name of Unit	This information is auto-filled
PART-A	(1) Recycling Unit Details	Registered address of Recyclers	This information is auto-filled
		District	This information is auto-filled.

			Fator CCT and the CCT
		GST No.	Enter GST number as per GST certificate.
			Permanent Account number of the
		PAN No.	Company is to be entered.
		Consent validity under Air	Select validity of the Consent under
		Act	Air Act.
		Consent validity under	Select validity of the Consent under
		Water Act	Water Act.
		Authorization under	Select validity of Authorization under
		Hazardous and Other	Hazardous and Other Wastes
		Wastes	
		DIC certificate validity	Select validity of DIC certificate
			Select the category of Recycler out of the following (Multiple selection can
General			be made):
Information			se madej.
			(i) R1: Lead Acid Battery Recycler
			(ii) R2: Only Battery Dismantling and
			Physical separation (Processing till
			Black Mass Generation) of all types of
		Soloct the Category of	battery except Lead acid battery
		Select the Category of Recycler	(iii) R3: Refiners – Only Black Mass
			Processor (Processing till metals are
			obtained in compound form) of all
			types of battery except Lead acid
			battery
			(iv) R4: Battery Dismantling, Physical
			Separation and Refining (Black Mass
			Processing) of all types of battery
			except Lead acid battery
		Name	
	(2) Authorized	Mobile No.	These information are auto-filled from
	Person Details	Email Id.	sign up page.
		Designation	
	(3) Enter	Enter the Recycling	The capacity should be as per the CTO
	Recycling	capacity of the unit as per	provided by the respective SPCB/PCC.
	Capacity of The Unit (in Tonnes	Consent to Operate (CTO)	Application fees will be based on the Recycling Capacity entered in this
	per Annum):	in Tonnes Per Annum	field.
	•		Select type of battery being recycled.
Part – B		Select Battery Type	If the unit is involved in processing of
			black mass, then 'other' option is to
	(1) Add Battery		be selected  Recycler shall select kind of battery
	Details		(Lead-acid, Lithium Ion, Nickel,
		Kind of Battery	Cadmium, Zinc based, and others)
		,	If 'other's is selected, then the
			Recycler shall mention the other kind

			of battery name.			
		Select Technology being used	Select Technology being used for each battery type and composition of battery  (i) Hydro-metallurgical / Electro-Chemical Process (ii) Pyro-metallurgical Process (iii) Physical Separation processes			
Information on Battery		Key Battery Metals	Select all the metals that can be recovered either in compound form or pure form by the recycling unit.			
	(2) Add	Select Financial Year	Select financial year for which data is to be provided			
	Procurement Data (Procurement of	Select Type of Battery	Select type of battery type. If the unit is involved in processing of black mass, then 'other' option is to be selected			
	Waste Battery from registered as well as unregistered entities in last 3 FY years, i.e., 2020-21, 2021- 22, and 2022-23)	Select Kind of Battery	Recycler shall select kind of battery (Lead-acid, Lithium Ion, Nickel, Cadmium, Zinc based, and others)  If 'other's is selected, then the Recycler shall mention the other kind of battery name.			
		HSN Code	Enter HSN Code of Waste battery. HSN Code should be of 8 digits			
		Quantity (in Tonnes per Annum)	Enter quantity of Waste Battery Procured in Tonnes per Annum			
	Note: If the Unit is newly established, then Procurement data should be filled as '0'.					
		Select Financial Year	Select financial year for which data is to be provided			
	(3) Add Quantity of Battery Recycled  (Quantity of Waste Battery recycled in last 3	Select Kind of Battery	Recycler shall select composition of battery (Lead-acid, Lithium Ion, Nickel Cadmium, Zinc based, and others)			
		Select Battery Type	Select type of battery recycled. If the unit is involved in processing of black mass, then 'other' option is to be selected.			
	FY years, i.e., 2020-21, 2021-	Total Quantity Recycled (in Tonnes per Annum)	Enter the quantity of waste battery recycled (in Tonnes per Annum)			
	22, and 2022-23)	Key Battery Metals Recovered	Select all the metals that were			
	Note: If the Unit i	- · · · · · · · · · · · · · · · · · · ·	uantity of battery recycled data should			
		be filled as				
Part – C Documents	Upload Relevant Documents	GST Certificate*	Upload GST certificate in PDF only (Max. File size is 2 MB)			

	(*represents mandatory documents)	PAN card of Company*	Upload PAN card of company in PDF only (Max. File size is 2 MB)
		Valid Consent under Air and Water Act*	Upload Valid Consent document in a single PDF file (Max. File size is 2 MB)
		Valid Authorization under rule 6 of the Hazardous and other Wastes (Management & Transboundary Movement) Rules, 2016 *	'
		District Industries Center (DIC) registration Certificate	Upload DIC document in PDF only (Max. File size is 2 MB)
		Process Flow Diagram of the recycling process	Upload Process Flow Diagram in PDF only (Max. File size is 2 MB)
		Last 3 FYs annual returns	The Recycler will upload the Annual Returns for the last three FY i.e., 2020-21, 2021-22, and 2022-23 in a single PDF File. (Max. File size is 2 MB)
	Upload Geo Images	Geo-tagged pictures of the unit's location on the Map	Upload Geo-tagged image of the unit's location in a PDF file
PART – D Geo Images	(A geo-tagged image is a photograph which is associated with a geographic position by geotagging. This is done by assigning at least a latitude and longitude to the image.)	Geo-tagged pictures of waste battery storage area (Upload all images in a single PDF file)	, 55 5

	Geo-tagged pictures of recycling machineries (Upload images in a single PDF file)	Upload Geo-tagged images of
	Video of recycling plant (Provide link of Video)	Provide link of the video of Recycling Unit. The video may include the important machineries, equipment of the unit. Video may be uploaded on Google drive or any other server and the link of the same is to be provided.
	Location of Recycling Unit	Recycler shall select the location of the Recycling unit on the MAP or shall enter the latitude and longitude of the Recycling unit.

S. No.	Section	Informatio	on Required	Guidance
Part – E Recycling Equipments	(1) Battery Dismantling & Pre- treatment Details	Add battery dismantling details	Select battery dismantling equipment details	Select battery dismantling equipment – (i) Automatic battery cutting machine; (ii) Battery hydro-mechanical separation; (iii) Shredder / Crusher; (iv) other  Note: If 'other' is selected, name of the equipment used for battery dismantling is to be mentioned
			Capacity (Tonnes/day)	Enter capacity of equipment used for battery dismantling
	(2) Details of Equipments for Recovery of Battery Materials	Add material processing equipment details	Select Recycling Technology	Select Recycling Technology  – (i) Hydro-metallurgy; (ii) Pyro-metallurgy; (iii) others  Note: If 'others' is selected, name of the process used is to be mentioned
	violetiais		Select Equipment	Select equipment – (i) Smelting / Blast / Rotary Furnace; (ii) Acid Leaching

		equipment; (iii) Solvent Extraction Equipment; (iv) Furnace; (v) Gravity Separator; (vi) Magnetic Separator; (vii) Others
		Note: If 'other' is selected, name of the equipment used is to be mentioned
	Total Capacity (in Tonnes / Day)	Enter capacity of equipment selected in Tonnes / Day

#### 3.0 Application & Returns Processing Fees

The applicant shall pay the application fees as per the details given below along with the application for Registration:

S. No.	Recycling Capacity (in Tonnes per	Application Fee (in Rs.)
	Annum)	
1.	< 1000	10,000
2.	1000 – 5000	20,000
3.	> 5000	40,000

- Recycling Capacity (Tonnes per Annum) As per information filled in Point (3) 'Enter the Recycling capacity of the unit as per Consent to Operate (CTO) in Tonnes Per Annum' under PART (A) – General Details
- ii. Fees for renewal of Registration shall be the same as the Registration fee.
- iii. The fees shall be paid online through the payment gateway integrated in the portal.
- iv. Application Fees is exclusive of any transaction charges.
- v. 25% of Application fees is to be paid as Annual Processing Fees at time of filling returns.

#### 4.0 Processing of Applications

The application will be processed by SPCB/PCC. Processing of applications for grant of registration shall beensured as below:

- i. The application for registration shall be processed within 15 working days. The registration shall be either granted or rejected as the case may be within this period.
- ii. If after processing, the application is found to be incomplete with respect to any document being not submitted or any missing information, then the applicant shall be informed of the same through the portal.
- iii. Applications shall be rejected if false/ irrelevant information /document is found to be submitted. Application fees shall be forfeited in such cases. Fresh applications along with application fees will have to be submitted for Registration.
- iv. Portal-generated Registration certificate duly signed by Competent Authority shall be uploaded on the Portal.
- v. The portal has a provision for the internal processing of applications within SPCBs/PCCs, wherein theMember Secretary, SPCB/PCC shall be the approving authority for issuing of the Certificate.
- vi. Fresh Registration shall be valid for a period of five years from the date of grant of registration.

#### 5.0 Renewal of Registration

- i. Recycler shall submit the application for renewal 60 days before the expiry of the Registration along with the necessary documents as discussed in the previous sections.
- ii. Recyclers have to ensure that Quarterly Returns are filed within 30 days after end of each quarter. Application for renewal will not be processed unless all due returns are filed.
- iii. Findings of Audit shall be taken into consideration for renewal of Registration.
- iv. Registration granted to Recyclers shall be renewed for a period of five years by SPCB within 15 working days of receipt of complete documents from the Recyclers.

#### 6.0 Specific Conditions of Registration

The Registered Recyclers are required to comply with following conditions:

- i. The Recyclers shall not carry any business without having registration through online centralized portal developed by CPCB.
- ii. The Recyclers shall not deal with any entity not registered through online centralized portal developed by CPCB.
- iii. In case, it is found or determined that any Recycler registered on the on-line portal has provided false information or has willfully concealed information or there is any irregularity or deviation from the conditions stipulated while obtaining registration under BWM Rules, 2022, then the registration of such an entity would be revoked for a one –year period after giving an opportunity to be heard. The entities whose registration has been revoked shall not be able to register afresh for the period of revocation.
- iv. The Recyclers are required to comply with provisions of BWM Rules, 2022 failing to which necessary action as deemed fit shall be initiated against the violator.
- v. Registered Recyclers shall provide certificates for Battery Waste Recycling, which shall be considered for fulfillment of EPR obligations by the Producers.
- vi. Exchange of EPR certificates between Recyclers and Producers to be done as per mechanism to be provided in module three of the EPR portal for Battery Waste Management.

Additional conditions specific to local conditions may be incorporated by SPCBs/PCCs with intimation to CPCB

#### 7.0 Cancellation of Registration

- i. Registration granted to Recyclers is liable to be canceled or suspended at anystage, if the document submitted by the Recyclers is found to be false.
- ii. State Pollution Control Board shall suspend and/or cancel the registration of the Recycler, and/or impose Environmental Compensation in case of violation of Battery Waste Management Rules, 2022.
- iii. An opportunity will be given to hear the Recyclers within fifteen days from the date of issuance of notice; prior to considering the case for cancellation or suspension of Registration by SPCB.

SPCBs/PCCs shall update the SOP from time to time in accordance with requirements and further amendments to BWM Rules, 2022 if any, as required.

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## **Standard Operating Procedure**

for

# Registration of Producers through the Online Portal under Battery Waste Management Rules, 2022



Central Pollution Control Board, Delhi February, 2022

## **Table of Contents**

1.0 Background	. 3
2.0 Guidance for filing of Application by Producers/Manufacturers	3
2.1 Applicability of SOP	3
2.2 Procedure for Signing Up	3
2.3 Submission of Application	4
2.4 Filing section-wise information	4
2.4.1 Recycling Targets	6
2.4.2 Daily Sales Data	6
3.0 Application & Annual processing fees	7
4.0 Processing of Application	7
5.0 Renewal of Registration	7
6.0 Specific Conditions of Registration	8
7.0 Cancellation of Registration	8
8.0 Re-activation of Suspended or Cancelled registration	8

#### 1.0 Background

Ministry of Environment Forest and Climate Change notified Battery Waste Management (BWM) Rules on 22<sup>nd</sup> August, 2022 as per which every producer and person or an entity involved in manufacturing of battery shall have to register with CPCB. Producers and manufacturers of battery shall have to register through the online centralized portal developed by CPCB.

As per the provision under Rule 4 (1), Producer has the obligation of Extended Producer Responsibility (EPR) for the Battery that they introduce in the market to ensure the attainment of the recycling or refurbishing obligations. Producers are required to fulfill their EPR obligation by ensuring that waste battery is recycled through certified waste battery recyclers to meet assigned EPR targets. They shall obtain EPR certificates from recyclers according to the quantity of waste batteries recycled byrecyclers and use such certificates to meet their EPR targets.

This document outlines the Standard Operating Procedure for the Registration of Producers and persons or entities involved in the manufacturing of Battery with CPCB in line with BWM Rules, 2022. This SOP provides guidance on the filing of applications by Producers/Manufacturers and the processing of applications by CPCB.

#### 2.0 Guidance for filing of Application by Producers

The process of filing the application starts with signing up by Producers on the web portal **www.eprbatterycpcb.in** followed by filling of the application in 6 parts namely (A) General information of Producer (B) Information on the Type of Battery (C) Addition of Sales Data (D)Addition of Battery Material (E) Uploading of required Documents and (F) Payment of Fees

#### 2.1 Applicability of SOP

This SOP shall be applicable to all Producers (Manufacturers, Importer) required to obtain registration from CPCB and to submit EPR Plan as well as Annual Returns. Producers shall submit online application along with the relevant information, documents & Application fees for registration as per the details given in subsequent sections of this document.

Applications under process for grant of Registration shall henceforth be processed at https://egovernancecpcb.co.in/battery/ portal developed by CPCB as per provisions of this SOP.

#### 2.2 Procedure for Signing Up

To submit the application for registration under Battery Waste Management Rules, 2022, the producer shall generate login credentials by providing details as mentioned in the table below:

S. No.	Section	Information required	Guidance
1.	Applicant Type	Category of applicant	Select the option under which registration is to be granted
2.	Company Details	Name of Company	Please enter the name of the entity without Pre-fixing 'M/s'.
		Trade Name	Should be the same as provided in GST
		Type of Business	Select the type of business from the drop - down menu
		State/UT	-
		Registered Address	Should be the same as provided in GST
		District	-
		Pin code	Pin code of the registered address

		PAN	Permanent Account Number of the company in 'AAAAA9999A' format. In the case of Proprietor-ship, the PAN number of Authorized person is to be provided.
		CIN	Corporate Identification Number in 'A99999AA9999AA99999' is to be provided if the business is registered with Ministry of Corporate Affairs.
3.	Authorized Person	Name	Name of authorized company/business official. Name of any consultant or agent or any other agencies working on behalf of Producer shall not be provided.
		Designation	Position in company
		Mobile	10 digit mobile number should be in use
		PAN	Permanent Account Number of authorized Person in 'AAAAA9999A' format.
		Aadhar No.	Aadhar number of the authorized person
4.	Login Details	Authorized Email ID	Authorized Email ID of the Producer will be used as the User ID for login
		Password	Use of strong password is recommended. Password should be minimum 8 digits in length. It must contain at least one capital letter, one small letter, one number and One special character.
		Confirm Password	Same password to be entered
Afte	After clicking on <b>Sign Up</b> , OTP will be sent to the registered mobile number. The applicant will		

## enter OTP to proceed with filling up of application

#### 2.3 Submission of Application

After the creation of account, the applicant will log in to **initiate the registration** process. However, prior to filling application form, it shall be ensured that copy of the following documents in only pdf format is readily available with the Applicant:

- 1. Company GST Certificate
- 2. PAN Card of the company
- 3. Corporate Identification Number (CIN) document
- 4. Consent Issued by SPCBs/PCCs under Air/Water Act and authorization under Hazardous & Other Waste (Management & Transboundary Movement) Rules, 2016
- 5. Import Export Certificate issued by Ministry of Commerce & Industry (in case of importers)
- 6. District Industries Center (DIC) registration (if the unit is registered with DIC)

#### 2.4 Filing section-wise information

Producers shall follow section-wise instructions as given in the Table below for filing Application for Registration.

S. No.	Section	Information required	Guidance
		Name of Producer	This information is auto-filled
PART - A	General Information	Registered address of Producer	This information is auto-filled

		Website Address	Official working website of the entity is to be provided.
	Branch Address		-
		Authorized Person Details	Name, Designation, Mobile No., and Email ID of the Authorized Person will be auto-filled from the information provided during Sign-up.
		Select Producer Type	Producer shall select the type of Producer category under which it operates. Detail regarding each category is provided in <b>Annexure - I</b>
		Select Battery Type	Producer shall select the type of battery sold in the market
Part - B	Battery Type with Brand Name	Battery Composition	Producer shall select composition of battery (Lead-acid, Lithium Ion, Nickel Cadmium, Zinc based, and others)
		Brand Name	Producer shall enter the Brand name under which battery is/was sold in the market
		HSN Code of Battery	Producer shall enter the Harmonized System of Nomenclature (HSN) code of Battery
		Select Sales Year	The producer shall select the financial year of sales of battery
Part - C	Add sales data	Select type of battery	The battery type shall be selected from the drop-down menu. After selection of sales year, only those battery type will appear in the drop-down menu for whom targets are to fulfilled in the current financial year as per Schedule II of the BWM Rules, 2022.
		Select Battery Composition	Producer shall select composition of battery (Lead-acid, Lithium Ion, Nickel Cadmium, Zinc based, and others)
		Quantity Sold in Sale year (Dry wt. in kg)	Producer shall enter the quantity of battery sold (dry wt. in kg) in the selected sale year
		Self-declaration of sales data	Producer shall upload year-wise Sales Data for Each Battery type and Composition as per the format provided in the portal.
		Select Battery Composition	Producer shall select the Battery composition from the drop-down menu
PART - D	Add Battery Material	Percentage composition of Materials in Battery	Producer shall enter the average composition of the constituents present in selected Battery composition. For example, If Lithium ion battery is selected as battery composition and Producer has placed different chemistry of Lithium Ion

			battery in the market having different percentage of constituents in each battery, then average of percentage of constituents present in battery is to be entered.
		Enter GST Number	Producer shall enter the GST number and upload the GST certificate.
		Company PAN Card	Producer shall upload the PAN card of the company. In the case of Proprietor-ship, the PAN number of Authorized person is to be uploaded.
		Company CIN Number	Producer shall upload the CIN certificate of the company.
PART - E	Upload Documents	Company TIN No	Producer shall enter the TIN number.  If TIN no is same as GST, enter GST number.
TANTE		Consent Issued under Air/Water Act and Authorization under Hazardous Waste Rules(if unit is involvedin production facility)	Producer shall upload Consent Issued under Air/Water Act and Authorization under Hazardous Waste Rules as a single file.
		District Industries Center (DIC) Registration Certificate	Producer shall upload the District Industries Central (DIC) registration certificate.
		Import Export Certificate in case of importers (IEC)	Producer shall upload Import Export Certificate in case the producer imports battery.
PART - F	Confirm and Payment	Declaration and payment of fees as per the annual turnover/ Revenue of the company.	

#### 2.4.1 Recycling Targets

Battery Constituent Wise Recycling targets will be auto generated once the application is submitted. Recycling targets are calculated based on the Battery Collection Targets data and Percentage of Battery Material in different Battery Type and Composition.

#### 2.4.2 Daily Sales Data

Producer shall make daily sales entry and the following details are to be provided as mentioned in the table below:

S. No.	Information Required	Guidance
1.	Date of Sales	Select Date of Sales
2.	Select Producer Type	Producer shall select the type of Producer category under which it operates. Detail regarding each category is provided in <b>Annexure - I</b>
3.	Enter Name of Battery Brand	Producer shall enter the brand name of Battery
4.	Enter Name of Equipment	Producer shall enter the name of equipment

	Containing Battery	containing battery if the producer is selling and/or importing battery contained in an equipment
5.	Select Battery Composition	Producer shall select the Battery composition from the drop-down menu
6.	Type of Battery	The battery type shall be selected from the drop-down menu.
7.	Quantity Sold (in Numbers)	Enter the quantity of battery and/or battery contained in the equipment sold in the market
8.	Quantity Sold (in kg)	Enter the quantity of battery (in kg) and/or battery contained in the equipment sold in the market
9.	Upload Invoice	Producer shall upload the invoice of the sales

#### 3.0 Application & Annual processing fees

The applicant shall pay the application fees as per the details given below along with the application for Registration:

S. No.	Annual Turnover/Revenue (in Cr.)	Application Fee (in Rs.)
1.	< 5	10,000
2.	5 - 50	20,000
3.	> 50	40,000

- i. Fees for renewal of Registration shall be the same as the Registration fee.
- ii. The fees shall be paid online through the payment gateway integrated in the portal.
- iii. Application Fees is exclusive of any transaction charges.

#### 4.0 Processing of Application

The application will be processed by CPCB. Processing of applications for grant of registration shall be ensured as below:

- i. The application for registration shall be processed within 15 working days. The registration shall be either granted or rejected as the case may be within this period.
- ii. If after processing, the application is found to be incomplete with respect to any document being not submitted or any missing information, then the applicant shall be informed of the same through the portal.
- iii. Applications shall be rejected if false/ irrelevant information /document is found to be submitted. Application fees shall be forfeited in such cases. Fresh applications along with application fees will have to be submitted for Registration.
- iv. Portal-generated Registration certificate duly signed by Competent Authority shall be uploaded on the Portal.
- v. The portal has a provision for the internal processing of applications within CPCB, wherein the Member Secretary, CPCB shall be the approving authority for issuing of the Certificate.
- vi. Fresh Registration shall be valid for a period of five years from the date of grant of registration.

#### 5.0 Renewal of Registration

i. Producers/Manufacturers shall submit the application for renewal 60 days before the expiry of the Registration along with the necessary documents as discussed in the previous sections.

- ii. Producers have to ensure that Annual Returns are filed by June 30<sup>th</sup> of the following year (as per Rules) for the intervening Registration period. Application for renewal will not be processed unless all due annual reports are filed.
- iii. Findings of Audit carried out by CPCB shall be taken into consideration for renewal of Registration.
- iv. Registration granted to Producers shall be renewed for a period of five years by CPCB within 15 working days of receipt of complete documents from the Producers.

#### **6.0 Specific Conditions of Registration**

The Registered Producers are required to comply with following conditions:

- i. The Producers shall not carry any business without having registration through online centralized portal developed by CPCB.
- ii. The Producers shall not deal with any entity not registered through online centralized portal developed by CPCB to meet EPR targets.
- iii. In case, it is found or determined that any Producer registered on the on-lineportal has provided false information or has willfully concealed information or there is any irregularity or deviation from the conditions stipulated while obtaining registration under BWM Rules, 2022, then the registration of such an entity would be revoked for a one –year period after giving an opportunity to be heard. The entities whose registration has been revoked shall notbe able to register afresh for the period of revocation.
- iv. The Producers shall fulfill the year-wise / category-wise EPR Target as specifiedin Schedule II of the BWM Rules, 2022.
- v. The Producers are required to comply with provisions of BWM Rules, 2022 failing to which necessary action as deemed fit shall be initiated against the violator.

#### 7.0 Cancellation of Registration

- i. Registration granted to Producers/Manufacturer is liable to be canceled or suspended at any stage, if the document submitted by the Producers/Manufacturer is found to be false.
- ii. CPCB shall suspend and/or cancel the registration, and/or impose Environmental Compensation, in case of non-compliance of Extended Producer Responsibility obligations as per Schedule II.
- iii. Central Pollution Control Board shall suspend and/or cancel the registration of the Producer, and/or impose Environmental Compensation in case of violation of Battery Waste Management Rules, 2022 by the registered entity.
- iv. An opportunity will be given to hear the Producer/Manufacturers within fifteen days from the date of issuance of notice; prior to considering the case for cancellation or suspension of Registration by CPCB.
- v. The Joint Secretary or the officer equivalent in the Ministry of Environment, Forest and Climate Change shall be designated as an Appellate Authority.

CPCB shall update the SOP from time to time in accordance with requirements and further Amendment to BWM Rules, 2022 if any, as required.

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#### Annexure-I

### **Type of Producers**

S. No.	Producer Type	Explanation
1	Manufactures and Sells new battery under its own brand	A producer who is involved in manufacturing of new batteries in a production facility and sells the manufactured new batteries in the market under its own brands.
2	Manufactures and Sells new battery in equipment under its own brand	A producer who is involved in manufacturing of new batteries in a production facility and sells the manufactured batteries contained in equipment in the market under its own brands.
3	Manufactures and Sells Refurbished battery in equipment under its own brand	A producer who is involved in manufacturing of refurbished batteries in a production facility and sells the manufactured refurbished batteries in the market under its own brands.
4	Manufactures and Sells Refurbished Battery under its own brand	A producer who is involved in manufacturing of refurbished batteries in a production facility and sells the manufactured refurbished batteries contained in equipment in the market under its own brands.
5	Manufactures new Battery and Sells to other manufacturers under their own brand name or no brand	A producer who is involved in manufacturing of new batteries in a production facility and sells the manufactured new batteries to other manufacturers/producers of batteries under its own brand or no branding.
6	Sells refurbished Battery refurbished by others under its own brand name	A producer who is involved in selling refurbished batteries in the market under its own brand name which are refurbished by others (refurbishers/producers/manufacturers)
7	Sells Refurbished Battery in equipment refurbished by other Refurbishers under its own brand	A producer who is involved in selling refurbished batteries in equipment in the market under its own brand name which are refurbished by others (refurbishers/producers/manufacturers)
8	Sells new Battery manufactured by other manufacturers under its own brand	A producer who is involved in the selling of new batteries in the market under its own brand name which is manufactured by other manufacturers/producers
9	Sells new Battery manufactured by others, in equipment under its own brand	A producer who is involved in the selling of new batteries in equipment in the market under its own brand name which is manufactured by other manufacturers/producers

10	Sells new Battery imported by other importers under its own brand name	A producer who is involved in the selling of imported batteries in the market under its own brand which is imported by other importers.
11	Sells new Battery imported by themselves, under its own brand name	A producer who is involved in the selling of imported batteries in the market under its own brand name.
12	Sells Imported battery of other Brands or no brand	A producer who is involved in the selling of imported batteries under the brand name of the foreign exporter or no brand name.
13	Sells Imported equipment containing battery of other brand(s) or no brand	A producer who is involved in the selling of imported batteries in equipment under the brand name of the foreign exporter or no brand name.
14	Sells imported new Battery of any brand or no brand in any equipment	A producer who is involved in importing of batteries and selling the batteries in equipment under the brand name of the foreign exporter or no brand name.
15	Importer importing new Battery for self-use	A producer who is involved in importing of batteries for self-use.
16	Importer importing equipment containing battery for self-use	A producer who is involved in importing equipment containing batteries for self-use.
17	Importer importing new battery and selling it under their own brand name or no brand to other manufacturers	A producer who is involved in the selling of imported batteries under their own brand name or no brand name to other manufacturers/producers.
18	Importer importing equipment containing battery and selling it under their own brand name or no brand to other manufacturers	A producer who is involved in the selling of imported equipment containing batteries under their own brand name or no brand name to other manufacturers/producers.