

FORM-1

[See Rules 5(1) (g), 13(1) (i), 13(1) (vi)]

**Applicable to producers seeking Extended Producer Responsibility -
Authorisation**

The application form should contain the following information:

| | | | |
|----|---|---|--|
| 1. | Name and full address along with telephone numbers, e-mail and other contact details of Producer (It should be the place from where sale in entire country is being managed) | : | |
| 2. | Name of the Authorised Person and full address with e-mail, telephone and fax number | : | |
| 3. | Name, address and contact details of Producer Responsibility Organisation, if any with full address, e-mail, telephone and fax number, if engaged for implementing the Extended Producer Responsibility | : | |
| 4. | Details of electrical and electronic equipment placed on market year-wise during previous 10 years in the form of Table 1 as given below: | : | |

Table 1: Details of Electrical and Electronic Equipment placed on the market in previous years - Code wise

| Sr. No. | Electrical and Electronic Equipment Item | Electrical and Electronic Equipment Code | Quantity, number and weight placed on market (year-wise) | | | | | | | | | |
|----------|--|--|--|--|--|--|--|--|--|--|--|--|
| A | Information technology and telecommunication equipment: | | | | | | | | | | | |
| 1 | Centralised data processing: Mainframes, Minicomputers | ITEW1 | | | | | | | | | | |
| 2 | Personal Computing: Personal Computers (Central Processing Unit with input and output devices) | ITEW2 | | | | | | | | | | |
| 3 | Personal Computing: Laptop Computers(Central Processing Unit with input and | ITEW3 | | | | | | | | | | |

| | | | | | | | | | | | | | |
|----------|---|--------|--|--|--|--|--|--|--|--|--|--|--|
| | output devices) | | | | | | | | | | | | |
| 4 | Personal Computing: Notebook Computers | ITEW4 | | | | | | | | | | | |
| 5 | Personal Computing: Notepad Computers | ITEW5 | | | | | | | | | | | |
| 6 | Printers including cartridges | ITEW6 | | | | | | | | | | | |
| 7 | Copying equipment | ITEW7 | | | | | | | | | | | |
| 8 | Electrical and electronic typewriters | ITEW8 | | | | | | | | | | | |
| 9 | User terminals and systems | ITEW9 | | | | | | | | | | | |
| 10 | Facsimile | ITEW10 | | | | | | | | | | | |
| 11 | Telex | ITEW11 | | | | | | | | | | | |
| 12 | Telephones | ITEW12 | | | | | | | | | | | |
| 13 | Pay telephones | ITEW13 | | | | | | | | | | | |
| 14 | Cordless telephones | ITEW14 | | | | | | | | | | | |
| 15 | Cellular telephones | ITEW15 | | | | | | | | | | | |
| 16 | Answering systems | ITEW16 | | | | | | | | | | | |
| B | Consumer electrical and electronics: | | | | | | | | | | | | |
| 17 | Television sets (including sets based on (Liquid Crystal Display and Light Emitting Diode technology) | CEEW1 | | | | | | | | | | | |
| 18 | Refrigerator | CEEW2 | | | | | | | | | | | |
| 19 | Washing Machine | CEEW3 | | | | | | | | | | | |
| 20 | Air-conditioners excluding centralised air conditioning plants | CEEW4 | | | | | | | | | | | |
| 21 | Fluorescent and other Mercury containing lamps | CEEW5 | | | | | | | | | | | |

5. Estimated generation of Electrical and Electronic Equipment waste item-wise and estimated collection target for the forthcoming year in the form of Table 2 including those being generated from their service centres, as given below:

Table 2: Estimated generation of Electrical and Electronic Equipment waste item-wise and estimated collection target for the forthcoming year

| Sr. No. | Item | Estimated waste electrical and electronic equipment generation Number and weight | Targeted collection Number and weight |
|---------|------|---|--|
| | | | |

6. Extended Producer Responsibility Plans:

(a) Please provide details of your overall scheme to fulfil Extended Producer Responsibility obligations including targets. This should comprise of general scheme of collection of used/waste Electrical and Electronic Equipment from the Electrical and Electronic Equipment placed on the market earlier such as through dealers and collection centres, Producer Responsibility Organisation, through buy-back arrangement, exchange scheme, Deposit Refund Scheme, etc. whether directly or through any authorised agency and channelising the items so collected to authorised recyclers.

(b) Provide the list with addresses along with agreement copies with dealers, collection centres, recyclers, Treatment, Storage and Disposal Facility, etc. under your scheme.

7. Estimated budget for Extended Producer Responsibility and allied initiatives to create consumer awareness.

8. Details of proposed awareness programmes.

9. Details for Reduction of Hazardous Substances compliance (to be filled if applicable):

(a) Whether the Electrical and Electronic Equipment placed on market complies with the rule 16 (1) limits with respect to lead, mercury, cadmium, hexavalent chromium, polybrominated biphenyls and polybrominateddiphenyl ethers;

(b) Provide the technical documents (Supplier declarations, Materials declarations/Analytical reports) as evidence that the Reduction of Hazardous Substances (RoHS) provisions are complied by the product based on standard EN 50581 of EU;

- (c) Documents required:

- i. Extended Producer Responsibility plan;
- ii. Copy of the permission from the relevant Ministry/Department for selling their product;

- iii. Copies of agreement with dealers, collection centre, recyclers, Treatment, Storage and Disposal Facility, etc.;
- iv. Copy of Directorate General of Foreign Trade license/permission as applicable;
- v. Self-declaration regarding Reduction of Hazardous Substances provision;
- vi. Any other document as required.

(Authorised signature)

Place: _____

Date: _____

FORM 1(a)

[See rules 4(2), 8 (2), 13(2) (ii), 13(2) (vi) and 13(4) (i)]

APPLICATION FOR OBTAINING AUTHORISATION FOR GENERATION OR STORAGE OR TREATMENT OR DISPOSAL OF E-WASTE BY MANUFACTURER OR REFURBISHER*

From:

To

The Member Secretary,
..... Pollution Control Board or..... Pollution Control Committee

Sir,

I / We hereby apply for authorisation/renewal of authorisation under rule 13(2) (i) to 13(2) (viii) and/or 13 (4) (i) of the E-Waste (Management) Rules, 2016 for collection/storage/ transportation/ treatment/ refurbishing/disposal of e-wastes.

For Office Use Only

Code No. :

Whether the unit is situated in a critically polluted area as identified by Ministry of Environment and Forests (yes/no);

To be filled in by Applicant

1. Name and full address:
2. Contact Person with designation and contact details such as telephone Nos, Fax. No. and E-mail:
3. Authorisation required for (Please tick mark appropriate activity/ies*)
 - (i) Generation during manufacturing or refurbishing*
 - (ii) Treatment, if any
 - (iii) Collection, Transportation, Storage
 - (iv) Refurbishing
4. E-waste details:
 - (a) Total quantity e-waste generated in MT/A
 - (b) Quantity refurbished (applicable to refurbisher)
 - (c) Quantity sent for recycling
 - (d) Quantity sent for disposal
5. Details of Facilities for storage/handling/treatment/refurbishing:
6. In case of renewal of authorisation previous authorisation no. and date and details of annual returns:

Place : _____

Signature _____

Date : _____

(Name _____)

Designation: _____

Note:-

(1) * The authorisation for e-waste may be obtained along with authorisation for hazardous waste under the Hazardous Wastes (Management, Handling and Transboundary Movement) Rules, 2008, if applicable.

(2) Wherever necessary, use additional sheets to give requisite and necessary details.

FORM 1 (aa)
[See rules 5 (6) and 13(1)(ii)]

FORMAT OF EXTENDED PRODUCER RESPONSIBILITY - AUTHORISATION

[Extended Producer Responsibility Authorisation for Producer of the Electrical & Electronic Equipment]

Ref: Your application for Grant of Extended Producer Responsibility - Authorisation for following Electrical & Electronic Equipment under E-Waste (Management) Rules, 2016

1. Number of Authorisation:

Date:

2. **M/s. -----** is hereby granted Extended Producer Responsibility - Authorisation based on:

- (a) overall Extended Producer Responsibility plan
- (b) proposed target for collection of e-waste

3. The Authorisation shall be valid for a period of _____ years from date of issue with following conditions:

(i) you shall strictly follow the approved Extended Producer Responsibility plan, a copy of which is enclosed herewith;

(ii) you shall ensure that collection mechanism or centre are set up or designated as per the details given in the Extended Producer Responsibility plan. Information on collection mechanism/centre including the state-wise setup should be provided;

(iii) you shall ensure that all the collected e-waste is channelised to authorised dismantler or recycler designated as per the details. Information on authorised dismantler or recycler designated state-wise should be provided;

(iv) you shall maintain records, in Form-2 of these Rules, of e-waste and make such records available for scrutiny by Central Pollution Control Board;

(v) you shall file annual returns in Form-3 to the Central Pollution Control Board on or before 30th day of June following the financial year to which that returns relates;

(vi) General Terms & Conditions of the Authorisation:

- a. The authorisation shall comply with provisions of the Environment (Protection) Act, 1986 and the Rules made there under;
- b. The authorisation or its renewal shall be produced for inspection at the request of an officer authorised by the Central Pollution Control Board;
- c. Any change in the approved Extended Producer Responsibility plan should be informed to Central Pollution Control Board on which decision

shall be communicated by Central Pollution Control Board within sixty days;

- d. It is the duty of the authorised person to take prior permission of the concerned State Pollution Control Boards and Central Pollution Control Board to close down the facility;
- e. An application for the renewal of authorisation shall be made as laid down in sub-rule (vi) of rule of 13(1) the E-Waste (Management) Rules, 2016;
- f. The Board reserves right to cancel/amend/revoke the authorisation at any time as per the Policy of the Board or Government.

**Authorized signatory
(with designation)**

**To,
Concerned Producer**

Copy to:

1. Member Secretary, Concerned State.
2. In-charge, concerned Zonal Office, Central Pollution Control Board.

FORM 1(bb)

[See rules 4(2), 8(2)(a), 13(2) (iii) and 13(4)(ii)]

**FORMAT FOR GRANTING AUTHORISATION FOR GENERATION OR STORAGE
OR TREATMENT OR REFURBISHING OR DISPOSAL OF E-WASTE BY
MANUFACTURER OR REFURBISHER**

Ref: Your application for Grant of Authorisation

1. (a) Authorisation no. and (b) date of issue
2.of.....is hereby granted an authorisation for generation, storage, treatment, disposal of e-waste on the premises situated at... for the following:
 - a. quantity of e-waste;
 - b. nature of e-waste.
3. The authorisation shall be valid for a period from to
4. The e-waste mentioned above shall be treated/ disposed off in a manner.....at
5. The authorisation is subject to the conditions stated below and such conditions as may be specified in the rules for the time being in force under the Environment (Protection) Act, 1986.

Signature -----

Designation -----

Date: -----

Terms and conditions of authorisation

1. The authorisation shall comply with the provisions of the Environment (Protection) Act, 1986, and the rules made thereunder.
2. The authorisation or its renewal shall be produced for inspection at the request of an officer authorized by the concerned State Pollution Control Board.
3. Any unauthorised change in personnel, equipment as working conditions as mentioned in the application by the person authorized shall constitute a breach of his authorisation.
4. It is the duty of the authorised person to take prior permission of the concerned State Pollution Control Board to close down the operations.
5. An application for the renewal of an authorisation shall be made as laid down in sub-rule (vi) of rule 13(2).

FORM-4

[See rules 13(3)(i) and 13(3)(vi)]

APPLICATION FORM FOR AUTHORISATION OF FACILITIES POSSESSING ENVIRONMENTALLY SOUND MANAGEMENT PRACTICE FOR DISMANTLING OR RECYCLING OF E-WASTE*(To be submitted in triplicate)*

| | | | | |
|-----|--|--|--------------------------|----------|
| 1. | Name and Address of the unit | | | |
| 2. | Contact person with designation, Tel./Fax | | | |
| 3. | Date of Commissioning | | | |
| 4. | No.of workers (including contract labour) | | | |
| 5. | Consents Validity | a. Water (Prevention and Control of Pollution) Act, 1974; Valid up to _____ b. Air (Prevention and Control of Pollution) Act, 1981; Valid up to _____ | | |
| 6. | Validity of current authorisation if any | e-waste (Management & Handling) Rules, 2011; Valid up to _____ | | |
| 7. | Dismantling or Recycling Process | Please attach complete details | | |
| 8. | Installed capacity in MT/year | Products | Installed capacity (MTA) | |
| | | | | |
| | | | | |
| 9. | E-waste processed during last three years | Year | Product | Quantity |
| | | | | |
| | | | | |
| | | | | |
| 10. | Waste Management: | | | |
| | a. Waste generation in processing e-waste | Please provide details material wise | | |
| | b. Provide details of disposal of residue. | Please provide details | | |
| | c. Name of Treatment Storage and Disposal Facility utilized for | | | |
| 11. | Details of e-waste proposed to be procured from re-processing | Please provide details | | |
| 12. | Occupational safety and health aspects | Please provide details | | |
| 13. | Details of Facilities for dismantling both manual as well as mechanised: | | | |

| | | |
|-----|--|--|
| 14. | Copy of agreement with Collection Centre | |
| 15. | Copy agreement with Producer | |
| 16. | Details of storage for dismantled e-waste | |
| 17. | Copy of agreement with Recycler | |
| 18. | Details of Facilities for Recycling | |
| 19. | Copy of agreement with Collection Centre | |
| 20. | Copy agreement with Producer | |
| 21. | Details of storage for raw materials and recovered materials | |

II. In case of renewal of **authorisation, previous registration or authorisation no. and date**

I hereby declare that the above statements or information are true and correct to the best of my knowledge and belief.

Signature

Place: _____

Name: _____

Date: _____

Designation: _____